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PATENTS
ERK/001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : E. Kraft and G. Kulp
Application No. : 10/679,112 Confirmation No. : 2257
Filed : October 3, 2003
For : PHOTOKINETIC DELIVERY OF BIOLOGICALLY
ACTIVE SUBSTANCES USING PULSED
INCOHERENT LIGHT
Group Art Unit : 1638

New York, New York 10020
February 6, 2006

Mail Stop AMENDMENT
Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following patent documents and publications of record in the above-identified patent application:

US PATENT DOCUMENTS

<u>Patent Number</u>	<u>Publication Date</u>	<u>Applicant</u>
Re. 37,796	07-23-2002	Henley
4,702,732	10-27-1987	Powers et al.
4,786,277	11-22-1988	Powers et al.
4,886,489	12-12-1989	Jacobsen et al.
4,931,046	06-05-1990	Newman
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<u>Country</u>	<u>Publication Date</u>	<u>Applicant</u>
Europe	09-11-2002	*EP 1 238 651
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PCT	07-09-1992	*WO 92/10995
PCT	01-22-1998	*WO 98/02138
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PCT	03-21-2002	WO 02/22120
PCT	10-24-2002	WO 02/083088
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Banga, A.K. Dr., "Drug Delivery Today", Business Briefing: Pharmatech, 2002, pp. 150-153.

Datye, A.K. et al., "TiO₂ Photocatalysts For The Treatment Of Hazardous Waste: The Intrinsic Dependence on Particle Size And Mechanisms Of Catalyst Deactivation", pp. 404-425.

*Huang et al., "The Study of the Photokinetic effect and Mechanism of Ultrafine TiO₂ Particles on U937 Cells", J. of Photochemistry and Photobiology-A Chemistry, Vol. 108, 1997, pp. 229-233.

*Jiang, F. N, et al., "Enhanced Photodynamic Killing of Target Cells by Either Monoclonal Antibody or Low Density Lipoprotein Mediated Delivery Systems", Journal of Controlled Release, Elsevier Science Publishers B.V. Amsterdam, NL, Vol. 19, No. 1/3.

King, T., "A Review of Needlefree Injection Technologies".

Kost, J., "Ultrasound and Transdermal Transport for Drug Delivery and Diagnostics", Drug Delivery, pp. 196-202.

McNeil, L.E. et al., "Orientation Dependence in Near-Field Scattering from TiO₂ Particles", Applied Optics, Vol. 40, No. 22, August 1, 2001, pp. 3726-3736.

Panchagnula, R., "Transdermal Delivery of Drugs", Indian Journal of Pharmacology, 29, 1997, pp. 140-156.

The aforementioned documents are listed on the accompanying Form PTO/SB/08 (submitted in duplicate). Copies of the foreign patent document and the non-patent documents are enclosed. Pursuant to 37 C.F.R. § 1.98(a)(2), no copies of the U.S. patents or published U.S. patent applications accompany this Information Disclosure Statement.

The documents marked with a single asterisk (*) were cited in an International Search Report, mailed September 13, 2004, in related PCT Application No. PCT/US/2003/031532. A copy of this International Search Report is submitted herewith.

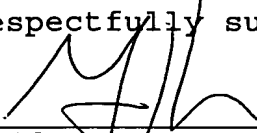
Applicant directs the Examiner's attention to document "& Database WPI Derwent Publications Ltd., Longdon, GB; AN 200591306, Kinoshita et al.: 'Photocatalyst Fixing Adhesive Tape' abstract." This document was cited in the International Search Report, but could not be located. The search performed to locate the document did yield Japanese Patent No. 2000237230, which shares the title "Photocatalyst Fixing Adhesive Tape" and inventor "Kinoshita" and is being submitted herewith.

It is respectfully requested that the above documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Some of the documents cited herein were cited in the International Search Report mailed on January 20, 2005. For the convenience of the Examiner, a copy of the International Search Report is enclosed. Applicant requests that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

This Statement is submitted more than three months from the application filing date but before the mailing date of the first Office Action on the merits. In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fee

required in connection with this Statement to Deposit
Account No. 06-1075 (Order No. 002162-0001). A duplicate
copy of this Statement is enclosed herewith for that
purpose.

Respectfully submitted,



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Attorney for Applicants
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ROPES & GRAY LLP
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PROVISIONAL PATENT APPLICATION

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Commissioner for Patents
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EXPRESS MAIL CERTIFICATION

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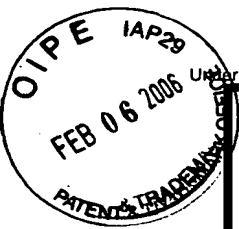
Date of Deposit: February 6, 2006

I hereby certify that this certification and the following papers:

1. Information Disclosure Statement (in duplicate);
2. Form PTO/SB/08 (in duplicate);
3. Copy of International Search Report dated September 13, 2004; and
4. Copies of cited references.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Name: Isatta B. Smith



Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 5

Complete if known

Application Number	10/679,112
Filing Date	October 3, 2003
First Named Inventor	Edward R. Kraft
Art Unit	1638
Examiner Name	Not yet assigned
Attorney Docket Number	ERK/001

U.S. PATENT DOCUMENTS

Examiner initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		Re. 37,796	07-23-2002	Henley	
		4,702,732	10-27-1987	Powers et al.	
		4,786,277	11-22-1988	Powers et al.	
		4,886,489	12-12-1989	Jacobsen et al.	
		4,931,046	06-05-1990	Newman	
		4,940,456	07-10-1990	Sibalis et al.	
		5,016,615	05-21-1991	Driller et al.	
		5,047,007	09-10-1991	McNichols et al.	
		5,084,008	01-28-1992	Phipps	
		5,115,805	05-26-1992	Bommannan et al.	
		5,135,480	08-04-1992	Bannon et al.	
		5,156,846	10-20-1992	Petersen et al.	
		5,167,616	12-01-1992	Haak et al.	
		5,203,768	04-20-1993	Haak et al.	
		5,221,254	06-22-1993	Phipps	
		5,224,927	07-06-1993	Tapper	
		5,231,975	08-03-1993	Bommannan et al.	
		5,258,453	11-02-1993	Kopecek et al.	
		5,306,235	04-26-1994	Haynes	
		5,306,485	04-26-1994	Robinson et al.	
		5,322,502	06-21-1994	Theeuwes et al.	
		5,323,769	06-28-1994	Bommannan et al.	
		5,362,308	11-08-1994	Chien et al.	
		5,403,275	04-04-1995	Phipps	
		5,421,816	06-06-1995	Lipkovker	
		5,423,739	06-13-1995	Phipps et al.	
		5,426,387	06-20-1995	Teillaud et al.	
		5,464,387	11-07-1995	Haak et al.	
		5,527,797	06-18-1996	Eisenberg et al.	
		5,533,971	07-09-1996	Phipps	

Examiner
SignatureDate
Considered

All References Have Been Considered:

Examiner

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if known	
				Application Number	10/679,112
				Filing Date	October 3, 2003
				First Named Inventor	Edward R. Kraft
				Art Unit	1638
				Examiner Name	Edward R. Kraft
Sheet	2	of	5	Attorney Docket Number	ERK/001

U.S. PATENT DOCUMENTS					
		Document Number			
		5,540,654	07-30-1996	Riviere et al.	
		5,573,503	11-12-1996	Untereker et al.	
		5,591,124	01-07-1997	Phipps	
		5,617,851	04-08-1997	Lipkovker	
		5,636,632	06-10-1997	Bommannan et al.	
		5,637,084	06-10-1997	Kontturi et al.	
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		5,954,675	09-21-1999	Dellagatta	
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		5,968,006	10-19-1999	Hofmann	
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Examiner Signature		Date Considered	
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All References Have Been Considered: _____
 Examiner

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
² Applicant's unique citation designation number (optional). ³ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language translation is attached.

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Examiner Signature		Date Considered	
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Examiner

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		Europe	09-11-2002	EP 1 238 651		
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		Germany	09-12-2002	DE 102 08 678		
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		PCT	12-19-2002	WO 02/100326		

NON PATENT LITERATURE DOCUMENTS			
Examiner initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
		Banga, A.K. Dr., "Drug Delivery Today", <u>Business Briefing: Pharmatech</u> , 2002, pp. 150-153.	
		Datye, A.K. et al., "TiO ₂ Photocatalysts For The Treatment Of Hazardous Waste: The Intrinsic Dependence on Particle Size And Mechanisms Of Catalyst Deactivation", pp. 404-425.	
		Huang et al., "The Study of the Photokinetic effect and Mechanism of Ultrafine TiO ₂ Particles on U937 Cells", <u>J. of Photochemistry and Photobiology-A Chemistry</u> , Vol. 108, 1997, pp. 229-233.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/679,112
		Filing Date	October 3, 2003
		First Named Inventor	Edward R. Kraft
		Art Unit	1638
		Examiner Name	Edward R. Kraft
Sheet 5	of 5	Attorney Docket Number	ERK/001

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Jiang, F. N, et al., "Enhanced Photodynamic Killing of Target Cells by Either Monoclonal Antibody or Low Density Lipoprotein Mediated Delivery Systems", <u>Journal of Controlled Release, Elsevier Science Publishers B.V. Amsterdam, NL</u> , Vol. 19, No. 1/3.	
		King, T., "A Review of Needlefree Injection Technologies".	
		Kost, J., "Ultrasound and Transdermal Transport for Drug Delivery and Diagnostics", <u>Drug Delivery</u> , pp. 196-202.	
		McNeil, L.E. et al., "Orientation Dependence in Near-Field Scattering from TiO ₂ Particles", <u>Applied Optics</u> , Vol. 40, No. 22, August 1, 2001, pp. 3726-3736.	
		Panchagnula, R., "Transdermal Delivery of Drugs", <u>Indian Journal of Pharmacology</u> , 29, 1997, pp. 140-156.	

Examiner Signature		Date Considered	
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All References Have Been Considered: _____

Examiner

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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